

Evolutionary History of the Symbiosis Between Fungus-Growing Ants and Their Fungi

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The evolutionary history of the symbiosis between fungus-growing ants (Attini) and their fungi was elucidated by comparing phylogenies of both symbionts. The fungal phylogeny based on cladistic analyses of nuclear 28S ribosomal DNA indicates that, in contrast with the monophyly of the ants, the attine fungi are polyphyletic. Most cultivated fungi belong to the basidiomycete family Lepiotaceae; however, one ant genus, *Apterostigma*, has acquired a distantly related basidiomycete lineage. Phylogenetic patterns suggest that some primitive attines may have repeatedly acquired lepiotaceous symbionts. In contrast, the most derived attines have clonally propagated the same fungal lineage for at least 23 million years.

Mutualistic symbioses between distantly related organisms have generated major innovations in the evolution of organic complexity, including the endocytotic organelles of the eukaryotic cell, the plant

and fungal symbionts in mycorrhizae, and the ubiquitous nitrogen-fixing bacteria present in plants, animals, fungi, and protists (1). The reconstruction of the evolutionary history of such symbioses requires explicit phylogenies for both symbionts, a necessity that has limited the number of such studies to date (2). Here we report the results of a phylogenetic analysis of the attine ant–fungus symbiosis, an ancient and successful association that has culminated in the leaf-cutting ants, the dominant herbivores of the Neotropics (3).

All of the approximately 200 species of ants in the tribe Attini cultivate fungus gardens on which they are obligately depen-

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dent for nourishment (3). The monophyly of the tribe (4) and its exclusively New World and primarily Neotropical distribution (5) argue for a single origin of the fungus-growing behavior about 50 million years ago (6). In spite of a century of research (5, 7, 8), progress toward understanding the origin and subsequent evolution of the ant-fungus symbiosis has been hindered by a lack of informed phylogenetic hypotheses, including the identities of the nearest nonsymbiotic relatives of both ants and fungi.

The phylogeny of the fungi has remained unresolved because of the infrequent production of taxonomically informative fruiting bodies (7-9). When fruiting bodies have been obtained, they have been assigned to the subdivision Basidiomycotina, order Agaricales, and variously classified in the families Cortinariaceae (7), Agaricaceae (10), or Lepiotaceae (9, 11) (see 9, 12, 13 for reviews). However, some investigators have maintained that attine ants form associations with a wide variety of fungal symbionts, including members of the subdivisions Ascomycotina and Deuteromycotina (14).

Thus, it is unclear whether the Attini cultivate a monophyletic group of fungi that has evolved in close association with the ant lineages in a strict coevolutionary sense, or whether the ant fungi represent an array of possibly distantly related species (5, 15). The former hypothesis is supported by the fact that foundress queens of at least some attine species carry a small pellet of fungus from the natal nest with which to start a new garden (5, 16), leading to the expectation of clonally propagated fungal lineages that closely parallel the lineages of their ant hosts. However, this behavior has been reported for only three genera (*Trachymyrmex*, *Acromyrmex*, and *Atta*) within the derived higher attines (5) and in the transitional genus *Cyphomyrmex* (Fig. 1) (17), but it has not been confirmed in any of the more primitive species that are most likely to retain less modified forms of the ancestral fungus-growing behavior.

A comprehensive collection of living attine ant (4) and fungal cultures (13, 18), which includes a representative sampling of the primitive attine genera, has provided new information on the evolutionary history of the ant-fungus symbiosis. Cultural, biochemical, and micromorphological characters of the fungal mycelium indicate that the attine fungi are basidiomycetes (13) and that they are subdivided into three major groups (G1, G2, and G3) that are congruent with a phylogeny of the ants based on larval morphology (Fig. 1) (4, 13). Only the G1 group, which includes the fungi of the enormously successful leaf-cutting species in the genera *Atta* and *Acromyrmex*, possess-

es gongylidia, the nutritious swollen hyphal tips that are harvested by the ants for food (3, 5, 13). Likewise, only the G2 group, cultivated by the species of the morphologically derived genus *Apterostigma*, possesses abundant clamp connections at hyphal septa (a basidiomycete character secondarily lost in many groups, including many Lepiotaceae) and extremely elongate aerial hyphae, which in some species serve to form a protective tent-like veil around the nest (5, 13). The G3 group is a comparatively heterogeneous assemblage that lacks these syn-

apomorphies and, thus, may be paraphyletic (13).

The G3 group fungi, cultivated by the most primitive attine ants, are genetically heterogeneous, as indicated by patterns of pairwise rejection between these strains in vegetative compatibility (VC) studies (13, 18), in some cases even between isolates from different nests of the same ant species. In contrast, the widespread acceptance reactions between the fungi within the G1 group, and between the fungi within the G2 group, indicate strong genetic similarity

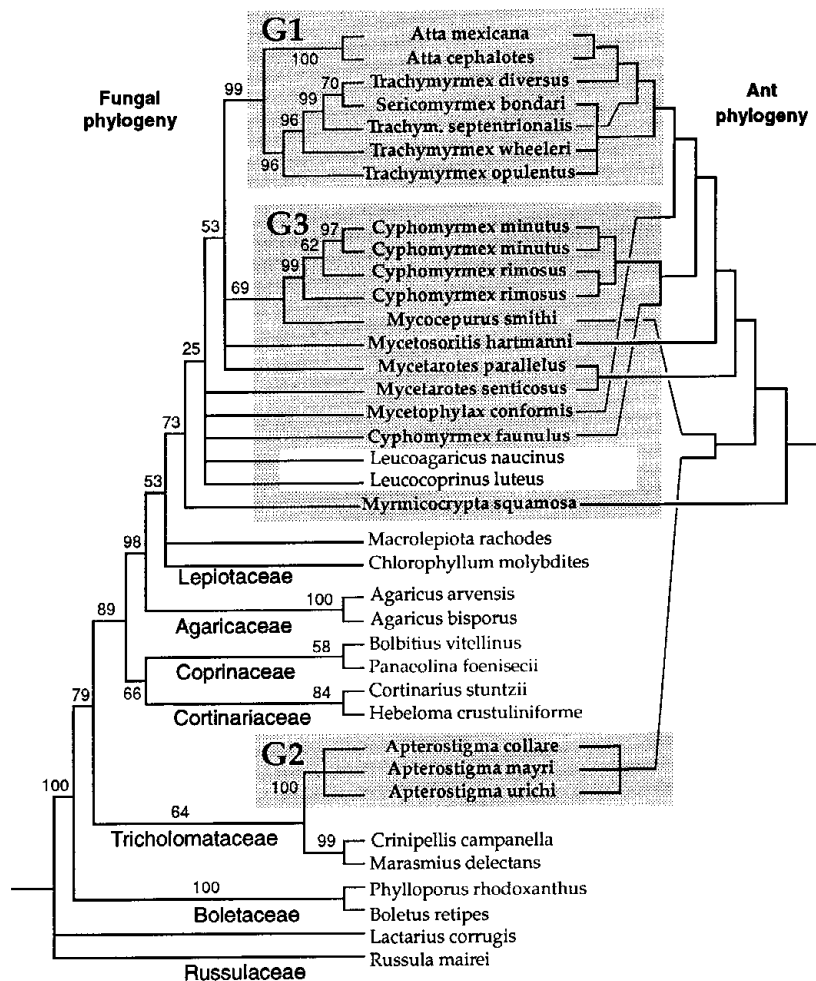


Fig. 1. A comparison of the attine fungal phylogeny obtained from 28S nuclear ribosomal DNA (20) and the attine ant phylogeny obtained from larval morphological characters (4). All unshaded names refer to free-living fungal species; shaded names refer both to ant species and their fungal symbionts. The monophyletic group consisting of the ant genera *Sericomyrmex*, *Trachymyrmex*, *Acromyrmex*, and *Atta* is traditionally called the higher attines and the remaining genera the lower (primitive) attines (5). The fungal tree is the strict consensus of 22 equally parsimonious trees and is identical to the 50% majority-rule bootstrap consensus tree, except for the presence of the group containing *Leucoagaricus naucinus*, *Leucocoprinus luteus*, and the lepiotaceous attine fungi exclusive of that cultivated by *Myrmecocrypta squamosa*. Bootstrap proportions for branches are provided as a crude indicator of character support but should not be interpreted as confidence levels (32). The phylogeny of the ants is congruent with the three fungal symbiont morphogroups G1, G2, and G3 (13). Both the G1 fungi, cultivated by the derived higher attines, and the G2 fungi, cultivated by ants of the primitive genus *Apterostigma*, are monophyletic. The G2 fungal group represents a shift by one lineage of ants to a distantly related fungal symbiont; in contrast, all other attine fungi belong to the Lepiotaceae (Basidiomycotina). Within the G3 group, some fungi are more closely related to those in the G1 group, whereas others are more closely related to two free-living lepiotaceous species (*Leucoagaricus naucinus* and *Leucocoprinus luteus*, indicated by the white box) than they are to other attine fungi. Thus, the G3 fungal group is paraphyletic.

within each of these groups. These observations have led to the hypothesis (18) that the G3 group fungi are genetically diverse because some of them have been repeatedly acquired by the ants from free-living forms, whereas the fungi within the G1 and G2 groups are genetically similar because they are the products of clonal reproduction, consistent with behavioral evidence that G1 group fungi are clonally propagated by their ant hosts (5, 19). This "acquisition-clonality" hypothesis predicts that (i) the two clonally reproduced lineages G1 and G2 are monophyletic entities and (ii) some G3 fungi are more closely related to free-living fungi than to other attine fungi.

To (i) determine the taxonomic position of the attine fungi, (ii) detail the phylogenetic relationships among attine fungi vis-à-vis the existing phylogeny of the ants (4), and (iii) test the two predictions of the acquisition-clonality hypothesis, we carried out a phylogenetic analysis of a 964-base pair region of the nuclear large subunit (28S) ribosomal DNA (20). Sequences were obtained for 21 attine fungi isolated from the nests of 19 attine ant species (21), as well as for 16 free-living basidiomycetes in the order Agaricales (22). The phylogenetic tree generated by parsimony analysis (Fig. 1) (23) is the strict consensus of 22 equally parsimonious trees of length 556, with a consistency index of 0.502 and a retention index of 0.742 (24). Additional

analyses that used a variety of phylogenetic methods also produced trees with the topological features emphasized here (25).

Most attine fungi are members of a monophyletic group in the Lepiotaceae (Fig. 1), which corroborates the conclusions of some previous investigators (9, 11) and contradicts others (7, 14). However, the fungi cultivated by *Apterostigma* species arise in a position on the Agaricales tree well removed from the lepiotaceous attine fungi. The shortest tree in which the *Apterostigma* fungi are constrained to group with the other attine fungi is considerably longer (27 steps) than the most parsimonious trees. Furthermore, a test of the a priori hypothesis that the attine fungi (including the *Apterostigma* fungi but not the two free-living lepiotaceous species; see Fig. 1) are monophyletic was rejected by both the T-PTP ($P < 0.05$) (26) and maximum likelihood (27) methods. These results support a polyphyletic origin of the attine fungi and a nonlepiotaceous origin of the fungi cultivated by *Apterostigma*.

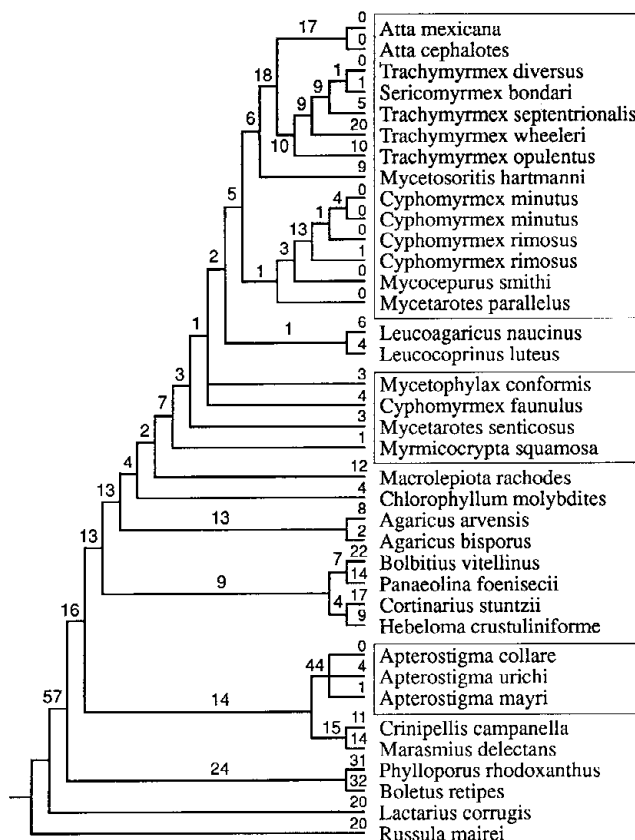
As predicted by the acquisition-clonality hypothesis (18), the G1 and G2 groups are monophyletic (Fig. 1). In contrast, the consensus tree (Fig. 1) indicates that the G3 group is paraphyletic because some G3 fungi are more closely related to the excluded G1 group, and some G3 fungal isolates are more closely related to two free-living lepiotaceous fungi, *Leucoagaricus naucinus* and *Leucocoprinus luteus*, than they are to other

G3 fungi. In each of the 22 most parsimonious trees, these two free-living species are invariably nested at least four nodes within the attine fungal clade (Fig. 2). These results are consistent with the second prediction of the acquisition-clonality hypothesis that some attine fungi in the G3 group are more closely related to free-living fungi than they are to other attine fungi and therefore must have been secondarily acquired by the ants. However, the alternative hypothesis, that these two free-living fungi are the sister group to the lepiotaceous attine clade, requires a tree only one step longer than the most parsimonious tree.

Comparison of the ant and fungal phylogenies reveals topological incongruence, indicating the absence of strictly parallel evolution, particularly between the primitive attine ants and their fungi (28). For example, within each of the genera *Mycetarotes* and *Cyphomyrmex*, closely related ants cultivate distantly related G3 fungi (Fig. 1). Likewise, *Mycocarpus smithi*, one of the most primitive attine ants, cultivates a fungus that is most closely related to those cultivated by the transitional species *Cyphomyrmex rimosus* and *Cyphomyrmex minutus*. These incongruences may be explained either by (i) inaccuracies in the phylogenetic reconstructions, (ii) lineage sorting (29), (iii) horizontal transfer of fungal clones across ant species (15), or (iv) secondary acquisition of fungi from a pool of free-living forms (30). The latter hypothesis is supported by vegetative compatibility patterns (18) and the nested position of the two free-living lepiotaceous species within the G3 group (Fig. 2).

From the time of its origin around 50 million years ago (6), the ant-fungus symbiosis has resulted in the evolution of complex behavioral (5) and physiological (31) modifications in the ants and in corresponding morphological and biochemical modifications in at least some of the fungal symbionts (5, 13, 31). It is now clear that the origin of the fungus-growing behavior was an extremely rare event, having occurred only once in the evolutionary history of the ants. Switching from the original host lineage was also rare: the first ant-fungus association probably involved a species in the Lepiotaceae, and only one switch to a fungal symbiont outside of this family has subsequently occurred, in the case of *Apterostigma*. On the other hand, switching by ants between fungi within the lepiotaceous lineage may have occurred frequently over evolutionary time, at least in the nonclonal G3-cultivating ant species. Precise identification of the fungal species within this group, as well as study of the biology of the most primitive attine ants and their closest non-fungus-growing relatives, is the most promising route to a complete understanding of the origin and evolution of the attine ant-fungus symbiosis.

Fig. 2. The resolved fungal phylogeny as it appears in one of the 22 equally parsimonious trees obtained from the unweighted parsimony analyses (25). Names of attine fungal symbionts appear in boxes; free-living fungi are unboxed. Numbers correspond to branch lengths. This tree is identical to the 64% majority-rule consensus tree of the 22 equally parsimonious trees (33). In all of the 22 trees, the free-living species invariably arise at a level of either four or five nodes within the attine clade, consistent with the hypothesis that free-living fungi have been independently acquired by separate ant lineages during the evolutionary history of the ant-fungus symbiosis.



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- This clonality may be quite ancient, because the G1-cultivating ant genera and species are separated in many cases by large geographic distances and estimated divergence times of at least 23 million years [C. Baroni Urbani, *Stuttg. Beitr. zur Natkd. Ser. B* **54**, 1 (1980)].
- Methods of DNA extraction, amplification, and sequencing have been described [S. A. Rehner and G. J. Samuels, *Mycol. Res.* **98**, 625 (1994); R. Vilgalys and M. Hester, *J. Bacteriol.* **172**, 4238 (1990)]. DNA sequencing reactions were performed with the Taq Dideoxy Terminator Cycle Sequencing Kit (ABI) and analyzed on an ABI 373 sequencer. Sequences for all fungi included in this study are deposited in GenBank under accession numbers U11890–U11926.
- Fungal isolates were obtained from ant gardens collected at the following locations: Manaus, Brazil: *Sericomyrmex bondari* and *Mycetarotes senticosus*; São Gabriel, Brazil: *Mycetarotes parvulus* and *Cyphomyrmex faunulus*; km 44, BR174, Amazonas, Brazil: *Trachymyrmex wheeleri* and *T. diversus*; Simla Biological Station, Trinidad: *Apterostigma urichi*; Morne La Croix, Trinidad: *Apterostigma mayri*; km 44, Manzanilla-Mayaro Road, Trinidad: *C. rimosus* and *Mycetophylax conformis*; Arima, Trinidad: *Myrmicocrypta squamosa*; La Selva, Costa Rica: *Apterostigma collare*, *C. minutus*, *Trachymyrmex opulentus*, and *Atta cephalotes*; Limon, Costa Rica: *Mycocapurus smithi*; Oaxaca, Mexico: *Atta mexicana*; Sam Houston National Forest, TX, USA: *Mycetosoritis hartmanni*; Archbold Biological Station, FL, USA: *Trachymyrmex septentrionalis* and *C. minutus*; Darien, GA, USA: *C. rimosus*. Two isolates from *C. rimosus* and two from *C. minutus* were included because ants presently categorized as *C. rimosus* may represent multiple species (J. T. Longino, personal communication), and the taxonomic distinction between *C. rimosus* and *C. minutus* is unclear (I. H. Schultz and U. G. Mueller, unpublished data).
- Collection localities and herbarium numbers for free-living fungal species are the following: King County, WA: *Agaricus bisporus*, SAR 88/411; *Bolbitius vitellinus*, SAR 84/100; *Hebeloma crustuliniforme*, SAR 87/408; *Leucogargaricus naucinus*, SAR 81/396; *Maurolepiota rachodes*, SAR 87/407; *Panaeolina foenicisii*, SAR 87/378. Durham County, NC: *Bolotus retipes*, SAR 91/1; *Chlorophyllum molybdites*, SAR 89/461; *Lactarius corrugis*, RV 82/61; *Phylloporus rhodocanthus*, SAR 91/2. Prince Georges County, MD: *Agaricus arvensis*, SAR 93/1. Grant County, WA: *Cortinarius stuntzii*, SAR 85/358. Knox County, TN: *Marasmius delictans*, RD 4518. Orange County, NC: *Russula mairei*, RV 89/62. Washington, DC: *Leucocoprinus luteus*, SAR 94/1. Ontario, Canada: *Crinipellis campanella*, DAOM 17785.
- PAUP (phylogenetic analysis using parsimony), 3.1; D. L. Swofford, Illinois Natural History Survey, Champaign, IL. The sequenced region contained 193 informative sites after four highly variable regions of uncertain alignment, containing a total of 49 sites, were removed from consideration.
- The expected consistency index for a study of this size is 0.378, considerably worse than the value reported here [M. J. Sanderson and M. J. Donoghue, *Evolution* **43**, 1781 (1989)]. A permutation test probability (PTP) test [D. P. Faith and P. S. Cranston, *Cladistics* **7**, 1 (1991)], as implemented by the Random Cladistics computer program (version 2.1, M. F. Siddall, University of Toronto, Ontario, Canada), found significant cladistic character covariation in the data ($P < 0.01$) when 99 randomized matrices were generated, analyzed, and compared with an analysis of the actual data by using the mh command of Hennig86 (version 1.5, J. S. Farris; A. Kluge, University of Michigan, Ann Arbor, MI).
- Multiple unweighted parsimony analyses with the computer programs PAUP (23) and Hennig86 (24) produced trees identical to that in Fig. 1 when (i) all gaps were treated as missing, (ii) all gaps were treated as a fifth character state, or (iii) only some gaps were treated as a fifth state, whereas those that were not clearly the product of independent evolutionary events were treated as missing. A parsimony analysis in which transversions were given twice the weight of transitions produced a tree with the same topological features relevant to the arguments presented, including the monophyly of the G1 and G2 fungi and a monophyletic group containing the lepiotaceus attine fungi and the two free-living lepiotaceus species. These results were also obtained with implied-weight parsimony [P. A. Goloboff, *Cladistics* **9**, 83 (1993)] by using the PeeWee computer program (version 2.0, P. A. Goloboff, The American Museum of Natural History, New York) under both strong (concurrency = 2) and weak (concurrency = 6) differential weighting. Neighbor-joining [implemented in PHYLIP (Phylogeny Inference Package), version 3.4, J. Felsenstein, University of Washington, Seattle, WA], though less reliable for a study with this number of nucleotides [D. M. Hillis, J. P. Huelsenbeck, C. W. Cunningham, *Science* **264**, 671 (1994)], and maximum likelihood analyses (implemented in PHYLIP) also produced trees supporting these features.
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- Within the G3 group, the only evidence for possible clonal propagation is found in the case of *C. rimosus* and *C. minutus*, in which both the ants and their fungi form monophyletic groups. Interestingly, the fungi of these ants occur as a morphologically unique yeast phase, unlike the mycelial forms cultivated by all other attines, including the congener *C. faunulus*. On the basis of the relationships of eight of the known yeast-growing ant species, yeast growing probably had a single origin in the attine ants (4). If the yeast-phase attine fungi are also monophyletic, then it is possible that yeast growing by some species in the transitional genus *Cyphomyrmex* is a third example of strict clonal propagation of fungi. Alternatively, if the yeast-growing *Cyphomyrmex* species occasionally acquire fungi from free-living stocks, they may preferentially take only particular lepiotaceus species, some of which are known to show a strong tendency to produce the yeast morph (I. H. Chapela, unpublished data).
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- Secondary acquisition of free-living fungi may have occurred by means of at least two different scenarios: (i) Clonal propagation of fungi may be the general rule in all attine species, but repeated acquisitions (for example, by workers after loss of a fungus garden) may have occurred frequently enough over evolutionary time to produce an interwoven pattern of relationships between attine fungi and free-living forms (Fig. 1). (ii) Acquisition of free-living fungi by each new generation of nest-founding queens may be the rule, at least in some species. The latter scenario predicts that ant-associated fungi found within a given area are more closely related to one or more local free-living species than they are to fungi cultivated by the same species of ant in different areas. Tests of this prediction require greater knowledge of the pool of neotropical lepiotaceus fungi that may be sampled by the attine ants, as well as detailed information on population structure within and across ant and fungal species.
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- We thank S. Cover and J. Wetterer for collections of ant nests; R. Vilgalys for isolates of free-living fungi; C. Aquadro, B. May, and J. Taylor for advice on molecular techniques; C. Aquadro, B. Brandão, J. Clardy, T. Eisner, F. Guharay, A. Harada, H. Morais, and T. Seeley for logistical support; P. Cregan for use of an ABI no. 370 automated sequencer; A. Rossman and G. Samuels for mycological expertise; F. Lutzoni, K. Shaw, and M. Siddall for assistance with maximum likelihood and bootstrap analyses; W. L. Brown Jr., J. Carpenter, G. Eickwort, A. McCune, K. Shaw, and W. Wcislo for comments on the manuscript; and the American Philosophical Society (to U.G.M.), the MacArthur Foundation (to I.H.C.), the National Geographic Society (to T.R.S.), the Ernst Mayr Fund (T.R.S.), and the Explorer's Club (T.R.S.) for financial support. T.R.S. was supported by a NSF Graduate Research Fellowship. This paper is dedicated to the memory of George C. Eickwort (1940–1994), without whose enthusiastic support this study would not have been possible.

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